



**CALL FOR PRELIMINARY PROPOSALS
2009-2010 LONG ISLAND SOUND RESEARCH**

OPENING DATE: August 4, 2008

CLOSING DATE: September 15, 2008

Connecticut Sea Grant (CTSG) and New York Sea Grant (NYSG) announce the Long Island Sound Study (LISS) extra-mural research program. The program intends to fund research in support of the LISS, a regional, community-based partnership to protect and restore Long Island Sound. Information on the LISS can be obtained at www.longislandsoundstudy.net.

At this time, preliminary proposals are invited for the funding period of March 1, 2009 to February 28, 2011. Any investigator seeking support for this period must submit a preliminary proposal via NYSG's electronic submission web site www.NYSGProposal.org for receipt by **4:30 p.m. EDT on Monday, September 15, 2008**. Hard copy, email, and fax submissions will NOT be accepted. The due date for full proposals (for those investigators invited to submit full proposals) is November 17, 2008. The first year of the funding period covered by this Call for Proposals begins on March 1, 2009.

Topic Areas

Consideration will be given to projects that address the research topics and concerns outlined in the *2009 Monitoring, Assessment, and Research Needs to Support Attainment of LISS Goals and Targets*. This document can be found at <http://www.longislandsoundstudy.net/research/2009.needs.assessment%20final.pdf>. Applicants should clearly identify the LISS priorities to be addressed by the proposed research.

Duration and Funding

The program anticipates awarding approximately \$800,000 to cover the entire duration of all selected projects. Projects may extend for two years, but projects of one-year duration are also welcome. The maximum amount of funding available per project is \$100,000 per year and this must include all direct plus indirect costs. Matching funds (from state or private sources) are desirable, but are not required. **Total requested funding may not exceed \$200,000, including direct and indirect costs. Proposals that exceed this amount will be discarded without review.**

Eligibility

Proposals from the following eligible groups may be accepted and approved for funding:

- A. Faculty at universities and colleges,
- B. Researchers at not-for-profit institutions,
- C. Researchers who are personnel of state or local agencies, and
- D. Researchers at for-profit institutions or companies (with limits).

Eligibility is not limited to individuals from New York and Connecticut. However, the lead investigator must be in the United States. Federal employees and institutions are not eligible for compensation or budget items of any sort, but they may be project contributors.

Review

All pre-proposals meeting all specified requirements will be screened by a Panel established by the CTSG and NYSG offices using the following criteria:

- Responsiveness to the research topics and concerns outlined in the *2009 Monitoring, Assessment, and Research Needs to Support Attainment of LISS Goals and Targets*;
- Significance of the problem, or rationale and importance of the work; and
- Anticipated usefulness of the results.

Full proposals will be subject to mail peer review.

PRELIMINARY PROPOSAL GUIDELINES

A preliminary proposal (i.e., a pre-proposal), while not as detailed as a full proposal, should clearly define the objectives, rationale, and methodology for the project. Potential benefits of the proposed work should also be described (e.g., how the proposed work enhances understanding or management of Long Island Sound). Proposals involving multiple investigators and multiple disciplines are welcome. Proposals requesting funding that is complementary to that sought from other sponsors are also welcome; in this case, other funding sources and amounts should be disclosed, along with the distinction between work being funded elsewhere and that for which support is requested.

All preliminary proposals must be submitted using the format and categories described at the end of this announcement. No appendices or other attachments are permitted. Investigators should ensure that all information is provided and that proposal components follow the specified format.

The preliminary proposal project description must conform to stated page limitations, including minimum 1-inch margins and 12-point font. Illustrations and tables must be of legible size, and are *included* in the page limitation. References cited may be included as a separate section, *in addition* to stated page limits.

The completed PC-readable Pre-proposal Submission Form (see end of this announcement) must be received by the closing deadline of 4:30 p.m. EDT on Monday, September 15, 2008. Please note that, although the submissions are to NYSG's web site, this is a jointly

administered funding opportunity, and all the submissions will be processed by both programs, CTSG and NYSG, as a single pool. *Preliminary proposals that are not received by this deadline will be discarded without review, as will preliminary proposals that fail to comply with content, format, budget and length requirements.* Unless otherwise required by your institution, we do not require that University (or institutional) sponsored programs offices formally approve preliminary proposals prior to submission.

Requests for full proposals will be sent to only those prospective principal investigators with the highest-ranked preliminary proposals following panel review and evaluation. Comments relating to the evaluation of each pre-proposal will be disseminated to the lead investigator on or before September 30, 2008. For those investigators invited to submit full proposals, the deadline for these full proposals is Monday, November 17, 2008 at 4:30 p.m. EST.

The total budget request for a project may not exceed \$100,000 per year, including all direct and indirect costs. Matching funds (from state or private sources) may be included, but are *not* required. The budget estimates in pre-proposal submissions are expected to be realistic. A substantial increase in the final budget request will be viewed negatively and likely will result in either rejection of the project or budget cuts without changes in the scope of work.

Please double-check your Pre-proposal Submission Form file before uploading at the submission web site to make sure it is PC-readable and that it is your final version. It may be either a pdf file or a file from a word processing program. You will receive an auto-receipt with a time and date stamp from the web site confirming your submission, but this is not an indication that your file is readable. If the file contains a virus or is unreadable, your submission cannot be accepted.

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PRE-PROPOSAL SUBMISSION FORM INSTRUCTIONS

Please be sure to use the format and provide the information as described below. Submissions that do not include the required sections and information will be rejected as incomplete.

2009-2010 LONG ISLAND SOUND RESEARCH PRE-PROPOSAL

1. LAST NAMES OF PRINCIPAL INVESTIGATORS: (e.g., Smith / Doe / Jones)

2. BRIEF PROJECT TITLE:

3. INVESTIGATOR(S): List **all** principal, co-principal, and associate investigators, including name, address, telephone, fax, and email for each. *Also* indicate eligibility category for each. The primary professional base of the lead investigator must be in the United States. Students and NYSG and CTSG staff cannot have PI, co-I, or AI status.

4. PROJECT TOPIC: Indicate by number and letter the ***research*** topic from the *2009 Monitoring, Assessment, and Research Needs to Support Attainment of LISS Goals and Targets* that this proposal addresses.

5. BUDGET: Totals of direct plus indirect costs.

A. Estimated Funding Request

Year One \$ _____ Year Two \$ _____ Total Request \$ _____

B. Anticipated Non-Federal Cost-Share to be Provided (if any)

Year One \$ _____ Year Two \$ _____ Total Match \$ _____

C. Expected Source(s) of Cost-Share (if any):

6. BRIEF DESCRIPTION OF PROJECT: Starting on a *new* page, use up to two pages of text with the following a-e headings to describe your proposed project. Use all-around margins of at least 1" and a font size no less than #12. Text beyond two pages will be discarded prior to review.

a) **STATEMENT OF THE ISSUE:** Explain the significance of the problem you wish to address and why it is an important issue to resolve.

- b) **OBJECTIVES:** List the overall objectives of your proposed study, with a statement of the hypothesis(es) to be tested. Or, if this is model or technique development or a synthesis effort, clearly state the intent.
- c) **APPROACH:** Very briefly describe the general approach and methods to be used in accomplishing the objectives.
- d) **USEFULNESS:** Explain who would be expected to use the results from this project and in what way or for what purpose.
- e) **LINKAGES:** Highlight whether the work is being proposed in conjunction with other projects or proposals, or will be able to coordinate with other known efforts.

7. LITERATURE CITED: Present the full citations for any work referenced under #6, where they may be labeled as (1), etc. The space required to list the literature cited is not counted under the 2-page maximum for #6.